

FRANCESCO CAPUTO NASSETTI

PROFESSIONAL EXPERIENCES

- 2015 - to date **SWISS MERCHANT CORPORATION SA - LUGANO, CH**
Chief Executive Officer
- 2009 - 2013 **INTERMEDIA Holding S.p.A. – BOLOGNA**
Managing Director
Private Equity fund with 200 million Euros of capital invested mainly in the renewable energy sector. In this context, he was President or CEO of several companies operating in the renewable energy sector which built and managed 41 MW of photovoltaic plants and 4 MW of biomass plants.
- 2003 - 2009 **DEUTSCHE BANK AG**
2006 - 2009: Senior Advisor for Eastern Europe (London)
Responsible for the development activities in the region, focusing on the Balkans, for the Global Markets Division activities with local governments, central banks and corporations. He developed a broad network of relationships with the heads of government and ministers of different countries achieving significant economic results for the bank and benefits for the country concerned.
2003 - 2009: General Manager of Global Markets Italy
Responsible of the Global Markets activities (primary and secondary market debt, structured finance, derivatives) for Italian corporate customers, banks, insurance companies and the public sector. The Division's revenues almost doubled in the period 2004-2007.
- 1981 - 2003 **BANCA COMMERCIALE ITALIANA S.p.A. - BANCA INTESA S.p.A.**
Deputy General Manager
He started from the teller and went through several positions and several offices that led him to the summit of the bank, leaving in July 2003 as Deputy General Manager at Banca Commerciale Italiana Spa first and then at Banca Intesa Spa. He worked in 16 different cities, including London (4 years) and Tokyo (4 years).
Main roles and activities
 - Between 1996 and 2001 he designed and implemented the transformation of the BCI business model in the corporate, structured finance, trade finance and derivatives sectors, exceeding the target of € 1 billion in revenues.
 - In the years 1997-98 he created the Top Client Division: he innovated the organizational structure once based on territorial criteria by creating the Global Relationship Manager role. The risk portfolio has varied between 60 and 30 billion Euros. This model is still in place at Bank Intesa Sanpaolo SpA.
 - He created the Structured Finance Division, making deep innovations to the organizational model by introducing specialization and industrialization criteria with areas of expertise such as Project Finance Infrastructure & Industries, Project Finance Oil & Gas, Project Finance Power & Utilities, Telecommunications, Media & Technology finance, Structured Export finance, Asset-backed finance, Real estate finance, Leveraged & Acquisition Finance, Mid Corporate Acquisition, Transportation finance, Shipping finance, Financial (tax) Engineering, Credit Derivatives, Securitization, Corporate Products, Domestic syndication, International Syndication, International origination. On his impulse the bank won numerous records, awards and important world rankings positions in different areas of the structured finance (eg. first worldwide operation for the of synthetic securitization "Leonardo" of \$ 1 billion aircraft leasings; first European synthetic securitization "Scala 2" of Euro 750 million for a portfolio of credit derivatives).
 - In 1996 he started the Credit Derivatives business achieving significant economic results (€ 400 million net profit in five years) and placing the bank among the world's leading operators.

- He reorganized the business for emerging markets (Export Finance) introducing a functional model compared to the territorial one.

EDUCATION

1986 -1988	UNIVERSITY OF FERRARA In addition to the publication of his thesis, he graduated with highest honors at the School of specialization in law and bank economics.
1984	COURT OF APPEAL OF BOLOGNA Qualification to practice law.
1980 -1986	UNIVERSITY OF BOLOGNA Degree in Political Science (110 cum laude)
1976 - 1980	UNIVERSITY 'DI FERRARA Law degree (110 cum laude)

LANGUAGES

English:	written and spoken (excellent)
Italian:	mother tongue

ADDITIONAL INFORMATION

Professional:

- Member of committees of the International Swaps and Derivatives Association, ISDA New York, worldwide association of traders in derivatives, dedicated to the preparation of standard contracts used in international markets.
- Corresponding Member of the Class of Law and Economics of the Academy of Sciences, in Ferrara, with the Decree of the Minister of Heritage and Culture May 13, 1999.
- Chairman of the Scientific Committee of the Centro Studi Grande Milano (2004-5 / 2009).
- Member of the Scientific Committee of the magazine MEDIDEA Review, Rome, chaired by the Hon. Giuseppe Pisanu (2009-2011).
- Board Member of MERIDIE SpA, listed on the Milan Stock Exchange (2010-2013).
- Board Member of KRENERGY SpA, Milan, listed on the Milan Stock Exchange (2010).
- Board Member of INTESABCI BANK IRELAND Ltd., Dublin (2000-2003).
- Board Member of TANGENZIALI ESTERNE DI MILANO SpA, Milan (2001-2003).
- Vice Chairman of AICE (Italian Association of Economic Engineering) (2001-2005).

Academic:

- Since 2010: Professor of Banking Law at the University of Ferrara, Faculty of Law; title conferred pursuant to art. 23 Law 30 December 2010 n. 240.
- From the 1998-99 to the 2007-08 academic year: Professor for the course "Private Law" at the Bocconi University, Milan.
- In 2008: Professor for the Master in Corporate Criminal Law at the Free International University of Social Studies - LUISS University in Rome.
- In 2006: Professor for the module "The contracts for financial instruments: regulatory profiles" as part of the Master's Degree II level, Globalization, economy, finance, law, at the University of Genova.
- 1985: Professor at the London School of Economics alongside Prof. Graham Penn, for a course of nine lessons on the legal aspects of the capital market activities.
- Participation as a speaker at numerous scientific and educational conferences in the field of banking law, derivatives, financial aspects of different economic phenomena.

Publications:

- I contratti derivati finanziari. Series Contratti & commercio internazionale, Giuffr , 2007, Milan, (xviii, 597). The second revised edition was published on May 2011 (xxii, 918).
- Trattato sui derivati di credito (co-author Andrea Fabbri), Egea, Milan, in 2000 (798), (second edition 2001 (912)).

- I derivati di credito. Aspetti civilistici, contabili e fiscali. Series Teoria e pratica del diritto, Giuffr , 2001 Milan, (xviii, 447).
- I contratti derivati di credito. Profili civilistici e regolamentari. Series Quaderni di giurisprudenza commerciale, Giuffr , 1998 Milan, (xvi, 288).
- Profili civilistici dei contratti derivati finanziari. Collana Contratti & commercio internazionale, Giuffr , 1997 Milan, (xii, 476).
- Brevi note sui coefficienti patrimoniali bancari, Series Quaderni di ricerche e documentazione, ABI Bancaria, 1991 Rome (185).

Other Publications:

numerous chapters in books and encyclopedias, articles in leading magazines and reports at scientific meetings (complete updated list at www.francescocaputonassetti.it).